

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DE/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

39-3

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/554674

INTERNATIONAL APPLICATION NO.  
PCT/EP98/07408

INTERNATIONAL FILING DATE  
November 18, 1998 (11.18.98)

PRIORITY DATE CLAIMED  
November 21, 1997 (11.21.97)

## TITLE OF INVENTION

DOCUMENT CONTROL AND TRANSMISSION SYSTEM

## APPLICANT(S) FOR DE/EO/US

Froessl, Horst

Applicant herewith submits to the United States Designated/Elected Office (DE/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
- ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- ☒ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:  
Small entity statement

## CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail, Post Office to Addressee, in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on

by Walter C. Farley

*W. C. Farley*  
5-17-00

Date

Express Mail Receipt No.:

EK749411487US

U.S. APPLICATION NO. 09/554674

INTERNATIONAL APPLICATION NO.  
PCT/EP98/07408ATTORNEY'S DOCKET NO.  
58-39-317. ☒ The following fees are submitted:**Basic National Fee (37 CFR 1.492(a)(1) - (5)):**

Search Report has been prepared by the EPO or JPO..... \$ 840.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) ..... \$ 670.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482)  
but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$ 760.00Neither international preliminary examination fee (37 CFR 1.482) nor  
international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$ 970.00International preliminary examination fee paid to USPTO (37 CFR 1.482)  
and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$ 96.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$ 840

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 0

Claims	Number Filed	Number Extra	Rate		
Total Claims	23 - 20	3	x \$18	\$ 54	
Independent Claims	3 - 3	0	x \$78	\$ 0	
Multiple dependent claim(s) (if applicable)			+ \$260	\$ 0	

TOTAL OF ABOVE CALCULATIONS =

\$ 894

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement  
must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).

\$ 447

SUBTOTAL =

\$ 447

Processing fee of \$130 for furnishing the English translation later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(f))

\$ 0

TOTAL NATIONAL FEE =

\$ 447

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

\$ 0

TOTAL FEES ENCLOSED =

\$ 447

Amount to be:  
Refunded \$

Charged \$

a. ☒ A check in the amount of \$ 447.00 to cover the above fees is enclosed.b. ☐ Please charge my deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.c. ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit  
Account No. \_\_\_\_\_. A duplicate copy of this sheet is enclosed.Note: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
1.137(a) or (b) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

CUSTOMER NO. 000805

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Harpwell, ME 04079

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SIGNATURE

Walter C. Farley

NAME

22.624

REGISTRATION NUMBER